Non-linear estimation of carbon dioxide concentrations using the spectral information from the Atmospheric Infrared Sounder (AIRS)

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#### **Talk Outline**

- Statement of Objectives (CO2 Retrieval but also T, WV, O3, Clouds, Surface)
- Methodology (Processing Stages)
- Retrieval Equations
- Sensitivity Study
- CO2 channel selection
- Vertical layering
- Residuals Analysis
- Dynamic Bias Tuning
- CO2 weighting functions
- Unique method for handling first guess dependence.
- Case Study near Mauna Loa, Hawaii
- Conclusions

# Objectives: Study properties of non-linear estimate of carbon dioxide spatial-temporal distribution

#### Approach & problem statement

- Geophysical parameters at cloud free pixels are retrieved with a non-linear radiative transfer formulation. The radiative transfer model for a cloud free atmosphere includes spectral reflection at the lower boundary. A modified UMBC SARTA code is used for atmospheric spectral transmittance calculations. The physical parameters included in the model are:
- (1) surface emissivity spectrum (13 spectral parameters),
- (2) surface temperature,
- (3) atmospheric temperature vertical profile (35 vertical parameters),
- (4) atmospheric moisture vertical profile (22 vertical parameters),
- (5) atmospheric ozone vertical profile (17 vertical parameters)
- (6) atmospheric CO2 vertical profile (16 vertical parameters).
- In all 104 variables are estimated with each spatial pixel. A solution is derived from minimization of the spatial integral of a weighted absolute difference (measurement – model).

18 granules of AIRS measurements from Oct 2002 – Jan 2004 over Hawaii were processed and analyzed

# Information flow chart

*Non-linear spectral filtering* using statistics of second spatial differential of AIRS radiative fields

Physical interpretation: *Cloud identification* Initialization: ECMWF data for atmospheric parameters and surface temperature and pressure

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Spatial smoothing at cloud free pixels

Physical interpretation: *Estimation of geophysical parameters of surfaceatmosphere system at cloud free pixels* 

Initialization: fixed CO2 vertical profile 365 ppmv at each level and ECMWF data for atmospheric parameters and surface temperature and pressure

Radiative transfer model tuning

#### Measurement model

$$\tilde{\mathbf{J}}(\theta) = \varepsilon(\theta) B[\mathbf{T}_{s}] \tau_{s}^{\uparrow}(\theta) + \int_{\tau_{s}^{\uparrow}(\theta)}^{1} B[\mathbf{T}(\mathbf{p})] d\tau^{\uparrow}(\mathbf{p},\theta) + (1 - \varepsilon(\theta)) \tau_{s}^{\uparrow}(\theta) \int_{\tau_{0}^{\downarrow}(\mathcal{G}^{*})}^{1} B[\mathbf{T}(\mathbf{p})] d\tau^{\downarrow}(\mathbf{p},\mathcal{G}^{*}) + \xi$$

 $\hat{J}(\theta) = F[\tilde{J}(\theta)]$ 

 $A[\varepsilon, \mathbf{T}_{s}, \mathbf{T}(\mathbf{p}), \tau(\gamma(\mathcal{G}^{*}), H_{2}O(p), O_{3}(p), CO_{2}(p))](t) = \hat{\mathbf{J}}(t, \theta)$ 

$$\left(\varepsilon, \mathbf{T}_{s}, \mathbf{T}(\mathbf{p}), H_{2}O(p), O_{3}(p), CO_{2}(p)\right)(t) = A^{-1}[\hat{\gamma}(t-1,\theta), \hat{\mathbf{J}}(t,\theta)]$$

 $\hat{\gamma}(t) = \eta \hat{\gamma}(t-1) + (1-\eta) \arg \min_{\gamma} \left| \hat{J}(t) - A[\gamma, A^{-1}[\hat{\gamma}(t-1), \hat{J}(t)] \right|$ 

#### AIRS measurement response to changing the CO2 vertical profile +5ppmv at each level from 365 to 370 ppmv



Location of spectral channels used for CO2 estimation are shown in RED. The CO2 signal is small ~.2K. There are ~150 spectral channels in SW+LW sensitive to 5ppmv CO2 changes and not contaminated by signals from atmospheric moisture and ozone variations.

#### AIRS measurement response to changing the CO2 (+5 Purple), H2O (+15% Blue) and O3 (+15% Green) vertical profiles at each levels



# Imaginary part of cloud particles refraction index

**Imaginary part of refraction index CO2** Channels 0.6 Ice 13.3 – 14.4 µ 0.5 0.4 Water 0.3 0.2 0000008.008 0.1 00000 0 15 3 9 11 13 5 7 wavelength [microns]

Spectral distribution of imaginary part of cloud particle refraction index indicates strong spectral absorption in the LW CO2 channels

# Selection spectral channels for CO2 retrievals

$$v(CO_{2}): \begin{cases} \left| \delta T_{\nu} [\delta Q(+5 \ CO_{2})] \right| > .098K \\ \left| \delta T_{\nu} [\delta Q(+15\% \ O_{3})] \right| < .075K \\ \left| \delta T_{\nu} [\delta Q(+15\% \ H_{2}O)] \right| < .075K \end{cases}$$

# Spectral distribution of average of the absolute (measurement – estimate) residual (in degrees K)



Black – 365 ppmv Blue – 1<sup>st</sup> iter Red – 3<sup>rd</sup> iter

Purple – response to 5 ppmv

Green – normalized spectrum

#### Basis functions for parameterization CO2(p)[16] and

#### T(p)[35] profiles

Variations of atmospheric temperature and CO2 profiles are represented by a linear expansion in the inverse problem solution Temperature parameterization has to be sufficient to estimate and remove the temperature signal from measurements



#### Change in y fit from 6 Jan to 9 Jan 04



# Change in fit from 6 Jan to 9 Jan 04



# Change in fit from 6 Jan to 9 Jan 04



CO2 weighting functions (converted with solution basis functions) within atmospheric layer 150 -600 hPa for soundings in LW and SW bands. Model also shows spectral effect of CO2 variations in stratosphere



# Estimating CO2 concentrations by minimizing residuals between AIRS measured radiances versus SARTA radiative calculations



Initial first guess CO2 concentrations of 365 ppmv are alternated from 5 ppmv too high for one fov to 5 ppmv too low for the next (referred to as a checkerboard initialization).

Measurements provide adequate information from 150 to 350 hPa.

#### 01 Jan 2003 CO2 @ 151 hPa

2003.01.01 CO2 estimate [ppmv] at 151mb



# 01 Jan 2003 CO2 @ 212 hPa

2003.01.01 CO2 estimate [ppmv] at 212mb



# 01 Jan 2003 CO2 @ 273 hPa

2003.01.01 CO2 estimate [ppmv] at 273mb



#### 01 Jan 2003 CO2 @ 344 hPa

2003.01.01 CO2 estimate [ppmv] at 344mb



#### 01 Jan 03



### Active Volcanoes in Hawaii



### 01 Jan 2003 CO2 @ 273 hPa

2003.01.01 CO2 estimate [ppmv] at 273mb







#### SO2 signature is flat

#### Summary of Oct 02 – Jan 04 CO2 seasonal values



# Conclusion

- Results of geophysical interpretation of AIRS hyperspectral measurements from 18 granules (18 days) (from Oct 2002 – Jan 2004) show that estimate of vertical CO2 profile provide physically meaningful information at atmospheric layer 150-350 hPa
- Observed CO2 fields demonstrate spatial consistency with noticeable horizontal and vertical variations
- Temporal (seasonal) variations of the estimated average CO2 concentration at atmospheric layer 150-350 hPa demonstrate excellent correlation with temporal variations of direct CO2 measurements over Hawaii